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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): John Merrells, et al. ART UNIT: 2176  
SERIAL NO.: 09/993,940 EXAMINER:  
FILED: November 6, 2001  
TITLE: REPLICATION ARCHITECTURE FOR A DIRECTORY SERVER

Assistant Commissioner for Patents  
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[ XX ] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[ ] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [ ] the fee set forth in § 1.17(p); or
- [ ] the statement specified in § 1.97(e).

[ ] This Statement is being filed under 37 CFR 1.97(d) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. This Statement is accompanied by:

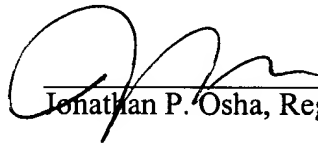
- (1) the statement specified in § 1.97(e); and
- (2) the fee set forth in § 1.17(p).

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee due for the filing of this paper. If, however, Applicants are in error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 13220.010001 for any fees and/or credits during the entire pendency of this application.

Respectfully submitted,

Date: 3/7/02



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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **John Merrells, et al.**

Docket No.

**13220.010001; P5845**

Serial No.

**09/993,940**

Filing Date

**November 6, 2001**

Examiner

Group Art Unit

**2176**

Invention:

**REPLICATION ARCHITECTURE FOR A DIRECTORY SERVER**I hereby certify that this **Information Disclosure Statement along with required papers***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**March 7, 2002***(Date)***Grace A. Wise***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**